DATE	FROM	TING RECO	L ACTION
6-11-09	16501	2/102	417
<u>6-11-09</u>	DHOZ		Pre Screened
6-12-07	Droz	GIO	Review
6-17-09	GE di	DIFBZ	Alleven
		gan galigasi	
			So Sign Barran Come
		7 - XX	INCLUDING VARIANCES

OCSD 54 16= 80x ERC AQ006041

Reissued Purchased
\ 45 18s 50x

OCSD 9 15 50x

AQDIO

Cantor Fitzgerald <u>4516</u>s AIN 499428

AOO

APPL # 499431 I. D. # 29110

ORANGE COUNTY SANITATION DISTRICT 22212 BROOKHURST ST HUNTINGTON BEACH ERC/ ALTERATION

Date: 06/05/09

South Coast Air Quality Management District 21865 Copley Drive, Diamond Bar, CA 91765-4178

(909) 396-2000 · www.agmd.gov

CERTIFIED MAIL RETURN RECEIPT REQUESTED

July 9, 2009

Layne Baroldi Orange County Sanitation District 10844 Ellis Avenue Fountain Valley, CA 92709

Re: ERC Alteration of 54 lb/day SOX to 9 lb/day (reissued) and 45 lb/day (sold to Cantor Fitzgerald Brokerage, LP)

Application Number 499431

Dear Layne Baroldi:

Your application for Alteration of 54 lb/day SOX to 9 lb/day (reissued) and 45 lb/day (sold to Cantor Fitzgerald Brokerage, LP) has been processed. The new certificate numbers, pollutant, and amounts are listed in the following table.

	Oran	ge Cou	nty Sanitation	District		* /			
Facility ID: 29110									
Application Starting Cert SOX Reissued SOX Sold SO									
Number	No.	lb/day	Certificate	lb/day	Certificate	lb/day			
499431	AQ006041	54	AQ010154	9	AQ010153	45			

The enclosed certificates issued to Orange County Sanitation District confirm the certificate numbers and owner. For future Alteration and/or Change of Title of the reissued certificate, the certificate is required to be surrendered with a new application.

Please call me at (909) 396-2512 if you have any questions regarding the above transactions.

Sincerely,

David Hauck

Air Quality Engineer II



 $,\quad \bigwedge^N$

South Coast Air Quality Management District

FORM 401 APPLICATION FOR EMISSION REDUCTION CREDIT (ERC) CERTIFICATE OF TITLE

Mail Application To: SCAQMD P.O. Box 4944 Diamond Bar, CA 91765

> Tel: (909) 396-3385 www.aqmd.gov

ERC Application Type	○ New ○ Change of Title	Inter-District) Interpol	lutant		
	Emission Reduction Achieved By:					
	C Equipment Alteration C Equipment Removal	○ Facility Out	t of Business	O Process	s Change	
	O New APC Device O Other, Explain:				· • • • • • • • • • • • • • • • • • • •	
New ERCs	Equipment Description:					
	Permit/Application No.:	Date	of Reduction	ı (mo/day/year):		
	I N					
	Name on Existing ERC Certificate: Orange County Sanitation District		ERC Certif AQ0060			
		ROG	NOx	SOx	co	PM10
Existing ERC Certificate Information	All Applications Existing Emittant Amount (lbs/day)			54.000		
	For Alterations Only Altered Amount (lbs/day)			45.000		
· · · · · · · · · · · · · · · · · · ·	For Alterations Only Remaining Amount (lbs/day)			9.000		
Price Information		.] :				
(Change of Title and Inter- District Only)	Sale Price of ERC Certificate: (Dollars pe	er pound)				
- 1	Legal Name of Applicant:					
	Orange County Sanitation District					
a 3	SCAQMD ID No:					
	29110					
	Business Mailing Address:					
	10844 Ellis Avenue					
	City: Stat		Zip C			
Applicant Information (All Transactions)	Fountain Valley CA Name(s) of Owner/Principal Partners:	<u> </u>	92	709	_	
· kan Hamaranaia)	Orange County Sanitation District					
	Contact Person and Title:		Telep	hone No.:	·	
to a service of the s	Layne Baroldi		(714) 593-7456		
	Do you claim confidentiality of data? O Yes O	lo				
5 / d	If Yes, state nature of data:	···		-		
. 4						
4999	31:		***************************************			
(8/43	District, Form 401 (2002.06)	6/	5/09	LA		
D Carab Casan Air C. Pr. N.		# 223	3275	# 2232	74-1	A
South Coast Air Quality Management	t District, Form 401 (2002.06)	<u> </u>	3 41	\$586	.13	Page 1 of 4
	270	マ しょしん	10		_	

S.C.A.G.M.D. ENGINEERING

109 JUN -5 P1:44

South Coast Air Quality Management District

APPLICATION FOR EMISSION REDUCTION CREDIT (ERC) **CERTIFICATE OF TITLE**

	Legal Name of Seller:					
	SCAQMD ID No:					
*						
	Business Mailing Address:			 -	·	
Seller Information	City:	S	tate:	······································	Zip Code:	AND THE RESIDENCE OF THE PARTY
(Change of Title and Inter- District Only)	Name(s) of Owner/Principal Partners:					
	Contact Person and Title:		***	, <u>.</u>	Telephone No.:	
South Committee of the	Do you claim confidentiality of data?	O Yes O	No		4	
•	If Yes, state nature of data:					
	EDO WILD .					
•, •,	ERC Will Be Used For: Emission Offset (Rule 1303)	☐ Variance	Order of A	Abatement	☐ Broker/Auctio	חה
Intended ERC Use	Rideshare Program (Rule 2202)	AOC (Ru			☐ Investment	•••
	Retire	Other		***	4	
	Location of Emission Reduction:			-	W A	<u>-</u>
*	Address & Street:		· · · · · · · · · · · · · · · · · · ·			
Sensitive Zone equirements	City:			State: CA	Zip Code:	The same to the sa
Rule 1303(b)(3)	Location of ERC Use:		L			
	Address & Street:					
= : .	· City:	y vitor 19		State: CA	Zip Code:	
ECTION C: APPLICANT hereby certify that all information	/SELLER CERTIFICATION STAT in contained herein and information submitted	EMENT ed with this applicati	on is true a	and correct.		
RALICANT SIGNATURE	rald.	TITLE		***	STAL SI	PERVISOR
YPE OR PRINT NAME OF SIG		APPLICANT'S				DATE SIGNED:
Layne Baroldi •MAIL ADDRESS: LBAR 4	LDI @ OCSP. COM	TELEPHONE NU FAX NUMBER:	MBER:	<u>(71</u>	4) 593-7456	5/28/09
		TITLE				
ELLER SIGNATURE		ĺ				
	NER (SELLER):	SELLER'S	·· · · · · · · · · · · · · · · · · · ·			DATE SIGNED:
YPE OR PRINT NAME OF SIG	NER (SELLER):	SELLER'S TELEPHONE NU FAX NUMBER:	MBER:			DATE SIGNED:
YPE OR PRINT NAME OF SIG		TELEPHONE NU FAX NUMBER:				DATE SIGNED:
YPE OR PRINT NAME OF SIG -MAIL ADDRESS: nder the California Public Records	CONF	TELEPHONE NU FAX NUMBER:	ATION:	er of public re	cord and may be disc	
MAIL ADDRESS: mader the California Public Record keep certain items as confident (a) Make a copy of any page.	CONF	TELEPHONE NU FAX NUMBER: IDENTIAL INFORM ation will be conside adout 1 shell this no	ATION: red a matte		cord and may be disc	

ERC/STERC Trade Summary Sheet

A/N; 499431 Co: Orange County Sanitation District ID: 29110	CERTIFICATE <u>AQ006041</u> <u>AQ010154</u> <u>AQ010153</u>	54 ppd SOX split to9 ppd SOX reissued45 ppd SOX sold to Cantor Fitzgerald Brokerage, LP

REVIEW & APPROVAL:		
Operations Supervisor:	GEI	Date: 6/19/09
Operations Manager:	WX	Date: 6 30 69
Deputy Executive Officer:	MM	Date: 7/2/09

Purchase Price:

SCAQMD PERMIT PROCESSING SYSTEM (PPS)

FEE DATA - SUMMARY SHEET

Application No Previous Application	: No:	499431			IRS/SS No: Previous Permit No:				
Company Name : Equipment Street: Equipment Desc :	22212	GE COUNTY SAI BROOKHURSTS LTERATION			2646	Facility ID	29110		
Equipment Type : B-CAT NO. : Facility Zone :	BASIC 000993 18		Deemed (C-CAT NO:	00 6/11/2	1 00 00110001	: I		
		ERATION (BA) Banking Applica	tion, Recommen	ded by Engine	eer	Higher Fees	a Permit:		
Air quality Analysis					\$0.00	Filing Fee Paid:	\$0.00		
E.I.R			•		\$0.00	Permit Processing Fee Paid:	\$586.73		
Health Risk Assessn	nent				\$0.00	Permit Processing Fee	·		
Significant Project					\$0.00	Calculated*: Permit Processing	\$586.73		
Expedited Processin	g		Hours:	0.00	\$0.00	Fee Adjustment:	\$0.00		
Source Test Review			Hours:	0.00	\$0.00				
Time & Material			Hours:	0.00	\$0.00		• •		
						Total Additional Fee:	\$0.00		
·						Additional Charge:	\$0.00		
COMMENTS:				, ·					
							. 3		

RECOMMENDED BY: DAVID HAUCK DATE: 06/12/2009 DATE: 6/17/09

ADJUSTED FOR SMALL BUSINESS, IDENTICAL EQUIPMENT AND P/O NO P/C PENALTY



The South Coast Air Quality Management District hereby registers this **Emission Reduction Credit**

ORANGE COUNTY SANITATION DISTRICT

To:

Facility ID.

29110

Certificate No.

AQ010154

Application No. 499431

In the Amount of 9 Type of Certificate:	Pounds/Day of Sulfur Oxides ALTERATION
Date of Issuance:	6/17/2009 Start Year: 0 End Year: 0
Sensitive Zone of Origin:	01 - COASTAL NSR Zone of Origin: 18
Previous Certificate No.:	AQ006041
Previous Owner, ID:	ORANGE COUNTY SANITATION DISTRICT, ID 29110
Reduction Created At:	22212 BROOKHURST ST HUNTINGTON BEACH, CA 92646
Description of Reduction:	Beginning Amount
Date Reduction Created:	9/20/1993
Original Certificate No.:	AQ000991

Mohsen Nazemi, P.E. Deputy Executive Officer **Engineering and Compliance**

ORIGINAL **NOT NEGOTIABLE** Any use or transfer of ownership must be approved by the SCAQMD

The South Coast Air Quality Management District hereby registers this Emission Reduction Credit

Facility ID. 29110 - Certificate No. AQ010154

Application No. 499431

10: URANGE COUNT	Y SAND ATION DISTRICT
In the Amount of 9	Pounds/Day of Sulfur Oxides
Type of Certificate:	ALTERATION
Date of Issuance:	6/17/2009 Start Year: 0 End Year: 0
Sensitive Zone of Origin:	01 - COASTAL NSR Zone of Origin: 18
Previous Certificate No.:	AQ006041
Previous Owner, ID:	ORANGE COUNTY SANITATION DISTRICT, ID 29110
Reduction Created At:	22212 BROOKHURST ST
•	HUNTINGTON BEACH, CA 92646
Description of Reduction:	Beginning Amount
Date Reduction Created:	9/20/1993
Original Certificate No.:	AQ000991
•	
•	Mohsen Nazemi, P.E. Deputy Executive Officer Engineering and Compliance

ORIGINAL
NOT NEGOTIABLE
Any use or transfer of ownership must be approved by the SCAQMD

The South Coast Air Quality Management District hereby registers this Emission Reduction Credit

Facility ID.

Certificate No.

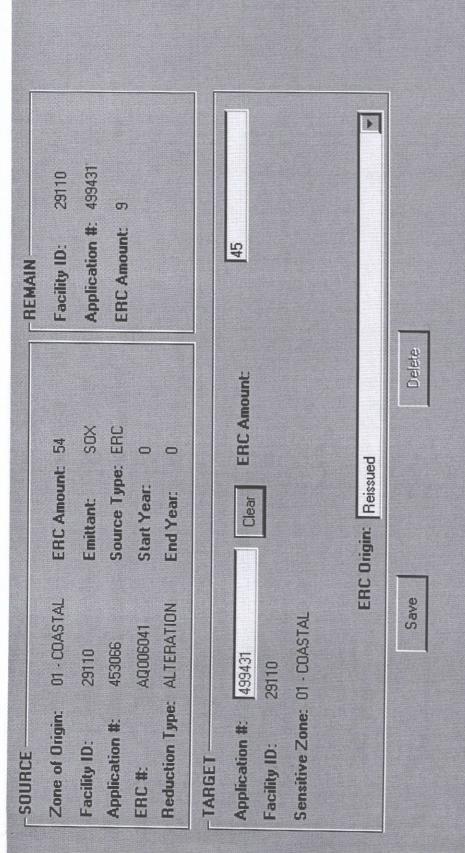
29110

AQ010153

Application No. 499431 To: **ORANGE COUNTY SANITATION DISTRICT** In the Amount of 45 Pounds/Day of Sulfur Oxides ALTERATION Type of Certificate: 6/17/2009 Date of Issuance: Start Year: 0 End Year: Sensitive Zone of Origin: 01 - COASTAL NSR Zone of Origin: 18 Previous Certificate No.: AQ006041 · Previous Owner, ID: ORANGE COUNTY SANITATION DISTRICT, ID 29110 Reduction Created At: 22212 BROOKHURST ST **HUNTINGTON BEACH, CA 92646** Description of Reduction: Beginning Amount Date Reduction Created: 9/20/1993 Original Certificate No.: AQ000991

> Mohsen Nazemi, P.E. Deputy Executive Officer Engineering and Compliance

ORIGINAL
NOT NEGOTIABLE
Any use or transfer of ownership must be approved by the SCAQMD



ORANGE COUNTY SANITATION DISTRICT HUNITINGTON BEACH, CA. 92646-8457 01 - COASTAL 22212 BROOKHURST ST 29110 SC Air Monitoring Zone: Sensitive Zone: Facility ID: Air Basin: -FAC. INFO NEGCONVERT OT - COASTAL 02-16-2006 09-20-1993 453066 Sex Reduction Type: Zone of Origin: Reduce Date: Application #: Issued Date: **Emittant**: ERC Status: 10 - New, available for use Beginning Amount AQ0006041 Prev. ERC #: AQ005871 Orig. ERC #: AQ000391 ERC ERC Origin: ERC Type: Start Year: End Year # 283

-COMPUTE ERCAMOUNT-

Comments: AGMD IM SPLIT

+ POSBAL: 0 + EXEMPTIONS: 0 CB: 0 + 8.0

NSR Bal.: II

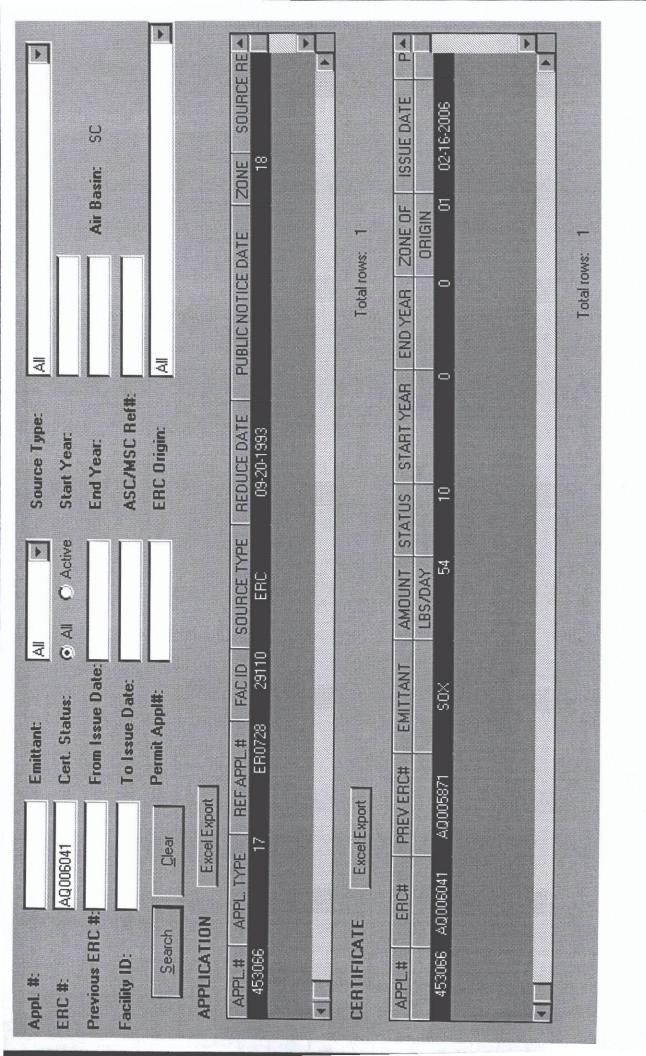
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54

Reduction Amt.:

ERC Amt.:

Inactivate Save





CantorCO2e, LP 27801 Golden Ridge Lane San Juan Capistrano, CA 92675

Z009

Telephone: I 949 240 4602 Facsimile: I 949 240 4769

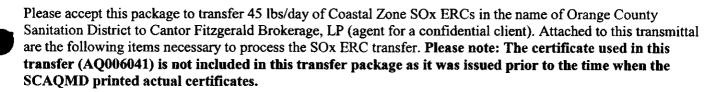
www.cantorco2e.com

June 4, 2009

Mr. George Illes South Coast Air Quality Management District 21865 E. Copley Drive Diamond Bar, CA 91765-4182

SOx ERC Transfer Package

Dear Mr. Illes:



Orange County Sanitation District's Paperwork

	A copy of the Agreement for Purchase and Sale of Emission Reduction Credits between Orange County Sanitation District and Cantor Fitzgerald Brokerage, LP (agent for a confidential client) signed by Orange County Sanitation District.
	A letter from Orange County Sanitation District requesting the transfer of 45 lbs/day of SOx ERCs to Cantor Fitzgerald Brokerage, LP (agent for a confidential client) and authorizing CantorCO2e to act on behalf of Orange County Sanitation District in the processing of the ERC transfer.
	A completed ERC Form 401 from Orange County Sanitation District altering certificate AQ006041.
Cantor Fitzg	gerald Brokerage, LP's Paperwork
	A copy of the Agreement for Purchase and Sale of Emission Reduction Credits between Orange County Sanitation District and Cantor Fitzgerald Brokerage, LP (agent for a confidential client) signed by Cantor Fitzgerald Brokerage, LP.
	A letter from Cantor Fitzgerald Brokerage, LP requesting the transfer of 45 lbs/day of SOx ERCs to Cantor Fitzgerald Brokerage, LP (agent for a confidential client).
	A completed ERC Form 401 from Cantor Fitzgerald Brokerage, LP (agent for a confidential client) filled out to reflect the change of title from Orange County Sanitation District to Cantor Fitzgerald for the SOx ERCs.

South Coast Air Quality Management District 06/04/09 Page 2

To acknowledge receipt of the enclosed ERC transfer package, please provide to CantorCO2e a receipt for the enclosed checks totaling \$1,173.46.

Please fax (or email) copies of the newly issued, original certificate and cover letters to my attention at 949-240-4769 when the transfer has been completed.

Thank you for your assistance in the processing of this ERC transfer request. If any questions arise, please feel free to call me at (949) 240-3971.

Sincerely,

Christie Stoker

cstoker@cantorCO2e.com

Office Manager

Attachments

cc: Orange County Sanitation District

AGREEMENT FOR PURCHASE AND SALE OF EMISSION REDUCTION CREDITS AND TRANSFER OF TITLE

THIS AGREEMENT is made this 22nd day of May 2009 by and between Orange County Sanitation District (SELLER) and Cantor Fitzgerald Brokerage, LP agent for a confidential client (BUYER). SELLER has transferred to BUYER a total of 45 pounds per day (lbs/day) of SOx emission reduction credits (ERCs) ("the SOx ERCs"), which are derived from ERC Certificate AQ006041 issued by the South Coast Air Quality Management District ("SCAQMD") and defined by SCAQMD Regulation XIII. SELLER warrants the following: (a) SELLER is the sole owner of record of the SOx ERCs that will be transferred to BUYER free and clear of all encumbrances; and (b) SELLER has all necessary approvals to transfer the SOx ERCs to BUYER. The Purchase Price for 45'lbs/day of SOx ERCs is \$3,645,000.00 calculated at a rate of \$81,000.00 for the Coastal Zone SOx ERCs. After the SOx ERCs are transferred to BUYER, in the event that the SCAQMD provides written notification to the parties that the SOx ERCs were issued in error to SELLER by the SCAQMD, SELLER shall refund the Purchase Price to BUYER within 10 days of SELLER's receipt of such notification. This document transfers all right, title, and interest in the SOx ERCs from SELLER to BUYER. THIS AGREEMENT SHALL BE GOVERNED BY AND CONSTRUED IN ACCORDANCE WITH THE LAWS OF THE STATE OF CALIFORNIA.

Executed as of the date first above written.

LAYNE BAROLOI

Printed Name

For ORANGE COUNTY SANITATION DISTRICT

SELLER

Date: 5/29/09

Christine Grandstaff
Printed Name

For CANTOR FITZGERALD BROKERAGE, LP AGENT FOR A CONFIDENTIAL CLIENT BUYER

Date: 6/1/09



ORANGE COUNTY SANITATION DISTRICT

10844 Ellis Avenue Fountain Valley, CA 92708-7018

Mailing Address P.O. Box 8127 Fountain Valley, CA 92728-8127

www.ocsd.com

Phone (714) 962-2411

Fax (714) 962-0356

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Placentia

Santa Ana

Seal Beach

Stanton

Villa Park

VIIIG 1 GI P

Yorba Linda

Costa Mesa Sanitary District

Midway City Sanitary District

Irvine Ranch Water District

County of Orange

May 28, 2009

George Illes South Coast Air Quality Management District 21865 E. Copley Drive Diamond Bar, California 91765-4182

Subject: SOx ERC Sale CantorCO2e Acting on Behalf of Orange County

Sanitation District

Please accept this package to transfer Orange County Sanitation District's 45 pounds-per-day (lbs/day) Coastal Zone SOx ERCs to Cantor Fitzgerald Brokerage, LP agent for a confidential client.

Please note that CantorCO2e is hereby authorized to act on behalf of Orange County Sanitation District in the processing of this ERC transfer. Please provide to CantorCO2e a receipt acknowledging your acceptance of this transfer package.

Thank you for your timely response to our ERC transfer requests. If any questions arise, please feel free to call me at 714-593-7456.

Layne Baroldi

Orange County Sanitation District

une Borald

Authorized Representative

LB:ds

H:\dept\ts\620\GENERAL DATA\AIR GROUP\Letters\D5_LB_052209 OC Sanitation 45 SOx ERC Auth Ltr.doc

cc: Josh Margolis, CantorCO2e, LP

AGREEMENT FOR PURCHASE AND SALE OF EMISSION REDUCTION CREDITS AND TRANSFER OF TITLE

THIS AGREEMENT is made this 22nd day of May 2009 by and between Orange County Sanitation District (SELLER) and Cantor Fitzgerald Brokerage, LP agent for a confidential client (BUYER). SELLER has transferred to BUYER a total of 45 pounds per day (lbs/day) of SOx emission reduction credits (ERCs) ("the SOx ERCs"), which are derived from ERC Certificate AQ006041 issued by the South Coast Air Quality Management District ("SCAQMD") and defined by SCAQMD Regulation XIII. SELLER warrants the following: (a) SELLER is the sole owner of record of the SOx ERCs that will be transferred to BUYER free and clear of all encumbrances; and (b) SELLER has all necessary approvals to transfer the SOx ERCs to BUYER. The Purchase Price for 45 lbs/day of SOx ERCs is \$3,645,000.00 calculated at a rate of \$81,000.00 for the Coastal Zone SOx ERCs. After the SOx ERCs are transferred to BUYER, in the event that the SCAQMD provides written notification to the parties that the SOx ERCs were issued in error to SELLER by the SCAQMD, SELLER shall refund the Purchase Price to BUYER within 10 days of SELLER's receipt of such notification. This document transfers all right, title, and interest in the SOx ERCs from SELLER to BUYER. THIS AGREEMENT SHALL BE GOVERNED BY AND CONSTRUED IN ACCORDANCE WITH THE LAWS OF THE STATE OF CALIFORNIA.

Executed as of the date first above written.

By / Eyne Barald

LAYNE BAROLOI

Printed Name

For ORANGE COUNTY SANITATION DISTRICT

SELLER

Date: 5/29/09

Christine Grandstaff

Printed Name

For CANTOR FITZGERALD BROKERAGE, LP AGENT FOR A CONFIDENTIAL CLIENT BUYER

Date: 6/1/09



May 22, 2009

George Illes South Coast Air Quality Management District 21865 E. Copley Drive Diamond Bar, California 91765-4182

SOx ERC Sale Cantor Fitzgerald Acting on Behalf of Third Party

Dear Mr. Illes:

Please accept this package to transfer Orange County Sanitation District's 45 pounds per day (lbs/day) Coastal Zone SOx ERCs to Cantor Fitzgerald Brokerage, LP agent for a confidential client.

Thank you for your timely response to our ERC transfer requests. If any questions arise, please feel free to call me at 949-240-4602.

Sincerely

Cantor Fitzgerald

Authorized Representative

cc: Josh Margolis, CantorCO2e, LP

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o for Instructions